

# Calibration of Safety Factors for Seismic Stability of Foundation Ground and Peripheral Slopes at Nuclear Power Sites

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**03/26/2007**



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# Example Nuclear Power Plant

Peripheral Slope

Reactor Buildings



敦賀発電所  
1号機

敦賀発電所  
2号機

BWR

PWR

[http://www.japc.co.jp/tsuruga/plant\\_guide/index.htm](http://www.japc.co.jp/tsuruga/plant_guide/index.htm)

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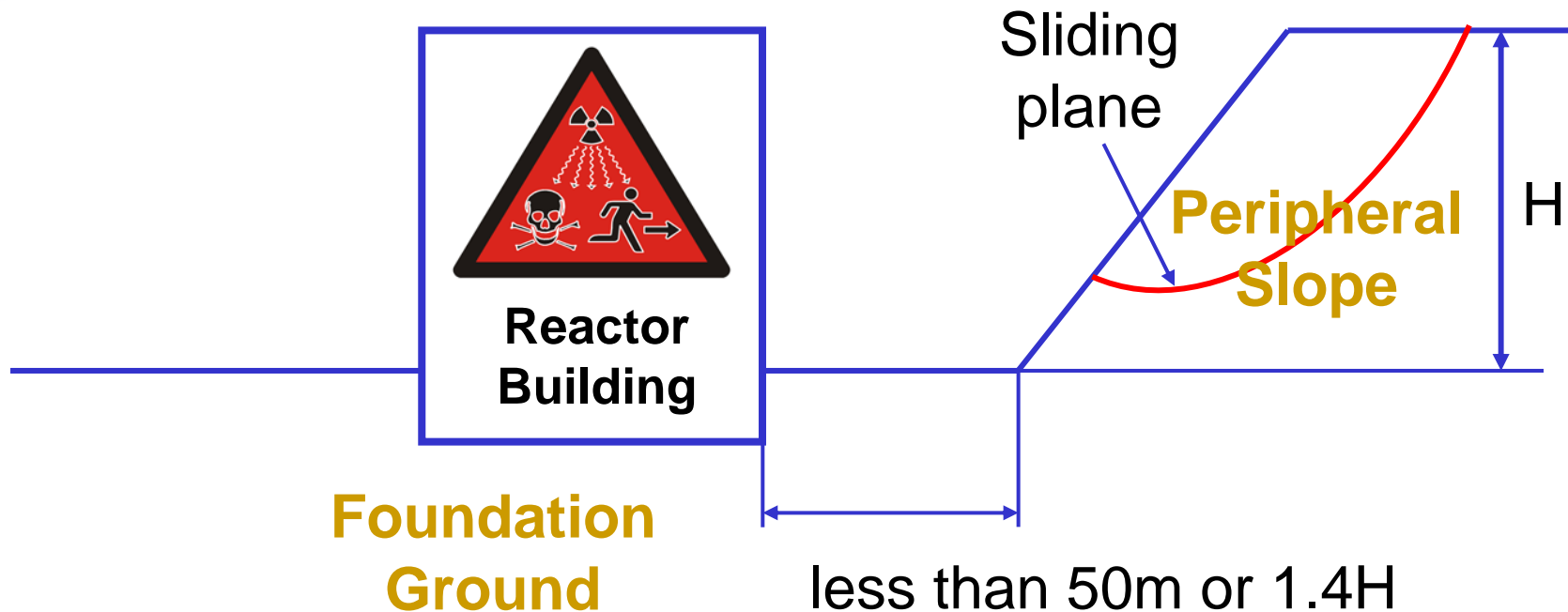


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# Definition of Structures



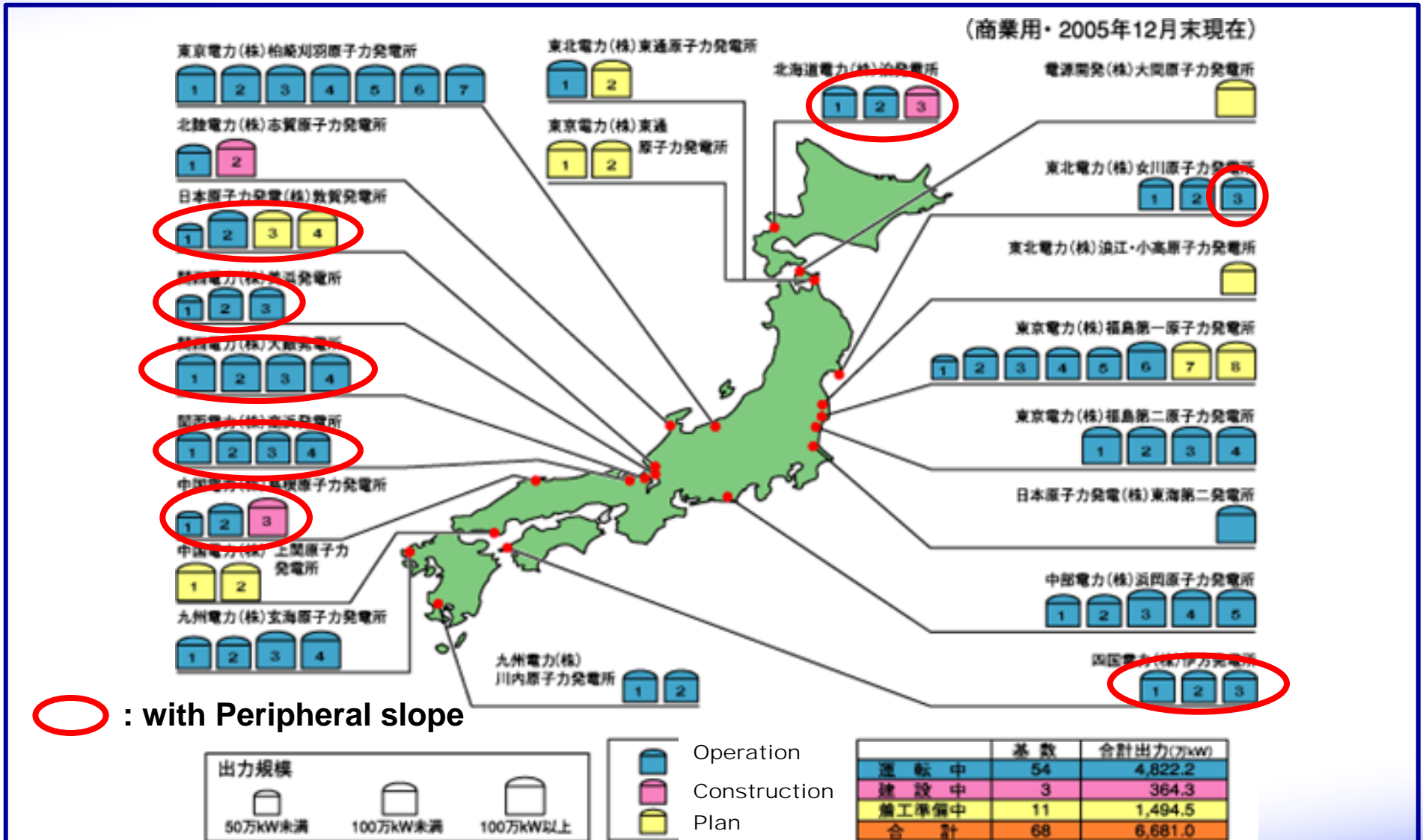
## ■ Foundation Ground:

Support the buildings and structures containing radioactive substances

## ■ Peripheral Slope:

The slope has a distance between its tail and the nuclear reactor building shorter than about 50m or shorter than about 1.4 times the slope height

# Nuclear Power Plants in Japan



○ : with Peripheral slope

出典:「原子力・エネルギー」図面集 2005-2006 4-4

# Background

- **Regulatory Guide for Aseismic Design for NPP in Japan**  
June, 2001: Revision work started under NSC (Nuclear Safety Commission)  
Sept. 19, 2007: Revised aseismic regulatory guides issued
- **Technical Guideline for Aseismic Design (JEAG)**  
Revision work (Continue)
- **Seismic PSA manual in Japan**  
March, 2004: Start Seismic PSA committee  
March, 2007: Final decision on publication

# Objective

How safe is a structure satisfied the current criteria?  
Are the criteria appropriate?

Criteria for  
design and/or evaluation

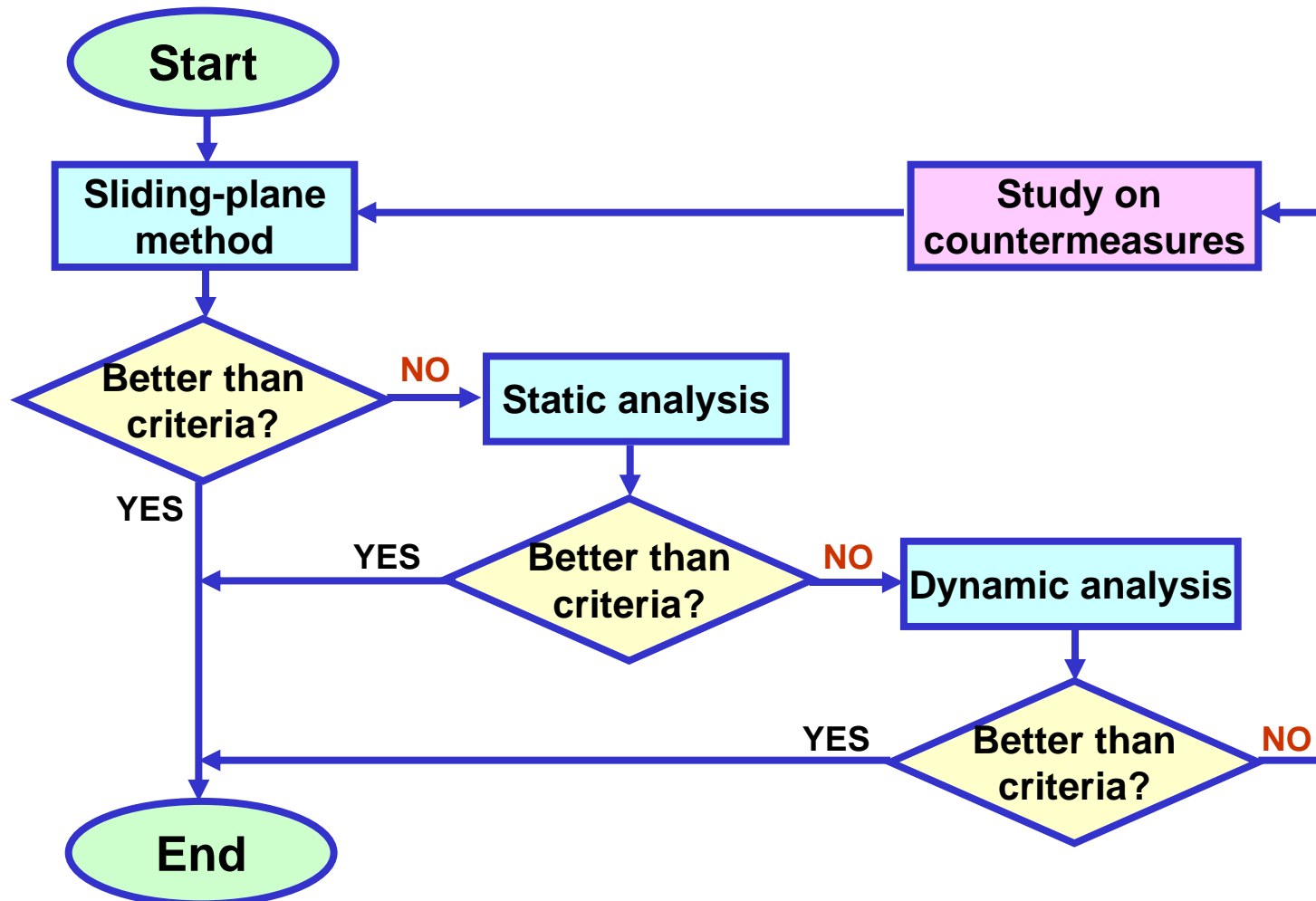
Engineering judgment  
Accumulated knowledge

Questionnaire  
Survey

Calibration

Annual probability of failure

# Flowchart of Safety Evaluation



**Currently, all three methods should be performed in JEAG!**



# Safety Evaluation Standard Values

## ■ Criteria against $S_2$ (Safety Evaluation Standard Values)

Structure	Analytical method		
	Sliding-plane method	Static analysis	Dynamic analysis
Foundation ground	2.0	2.0	1.5
Peripheral slope	1.5	1.5	1.2

## ■ Sliding Failure Safety Factor (Calculated value)

$$F_s = \frac{\text{Sum of shear resistance forces on the sliding plane}}{\text{Sum of shear forces on the sliding plane}}$$

## ■ Judgment

Standard value <  $F_s$  : **Safe**

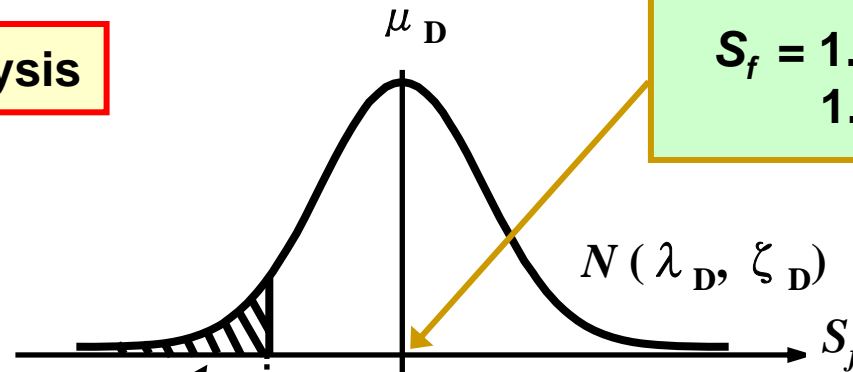
Standard value >  $F_s$  : **Collapse**



# Concept of Calibration

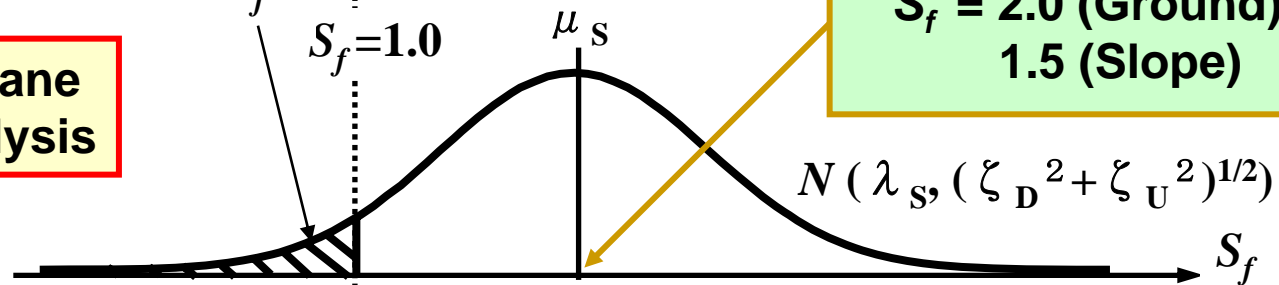
Dynamic analysis

$S_f = 1.5$  (Ground)  
 $1.2$  (Slope)



Sliding-plane  
Static analysis

$S_f = 2.0$  (Ground)  
 $1.5$  (Slope)



Analysis method	Uncertainty	
	Aleatory	Epistemic
Dynamic	$\zeta_D \neq 0$	Zero
Static, Sliding-plane	$\zeta_D$	$\zeta_U$

# Additional assumptions

- ◆ Mean values of properties are used in the safety evaluations
- ◆ Log-normal distributions of sliding failure safety factor
- ◆ Mean values of sliding failure safety factor

$$E[S_f(x_1, x_2, \dots, x_n)] \cong S_f(\bar{x}_1, \bar{x}_2, \dots, \bar{x}_n)$$

Random variables                      Mean values

# Procedure (I)

## Failure Probability

$$P_f = \Phi \left[ \frac{\ln S_f|_{CR} - \lambda_D}{\zeta_D} \right]$$

$\lambda_D$  and  $\zeta_D$ : Mean and standard deviation of  $\ln S_f$  for the dynamic analysis

$\Phi$ : Cumulative distribution function

$S_f|_{CR}$ : Critical Safety Factor (=1.0;  $S_f < S_f|_{CR}$ : Damaged)

$\zeta_D$  is given by

$$\zeta_D = \Phi^{-1}[P_f] \left\{ 1 - \sqrt{1 + \frac{2 \ln \mu_D}{\Phi^{-1}[P_f]^2}} \right\}$$

$\mu_D$ : Mean value of  $S_f$

# Procedure (II)

## Relation of failure probabilities (not dependent on analysis method)

$$\Phi \left[ \frac{\ln S_f |_{CR} - \lambda_D}{\zeta_D} \right] = \Phi \left[ \frac{\ln S_f |_{CR} - \lambda_S}{\zeta_S} \right]$$

where,

$$\zeta_S = \sqrt{\zeta_D^2 + \zeta_u^2}$$

Thus,

$$\mu_S = \exp \left[ -\Phi^{-1} [P_f] \sqrt{\zeta_D^2 + \zeta_u^2} + \frac{1}{2} (\zeta_D^2 + \zeta_u^2) \right]$$

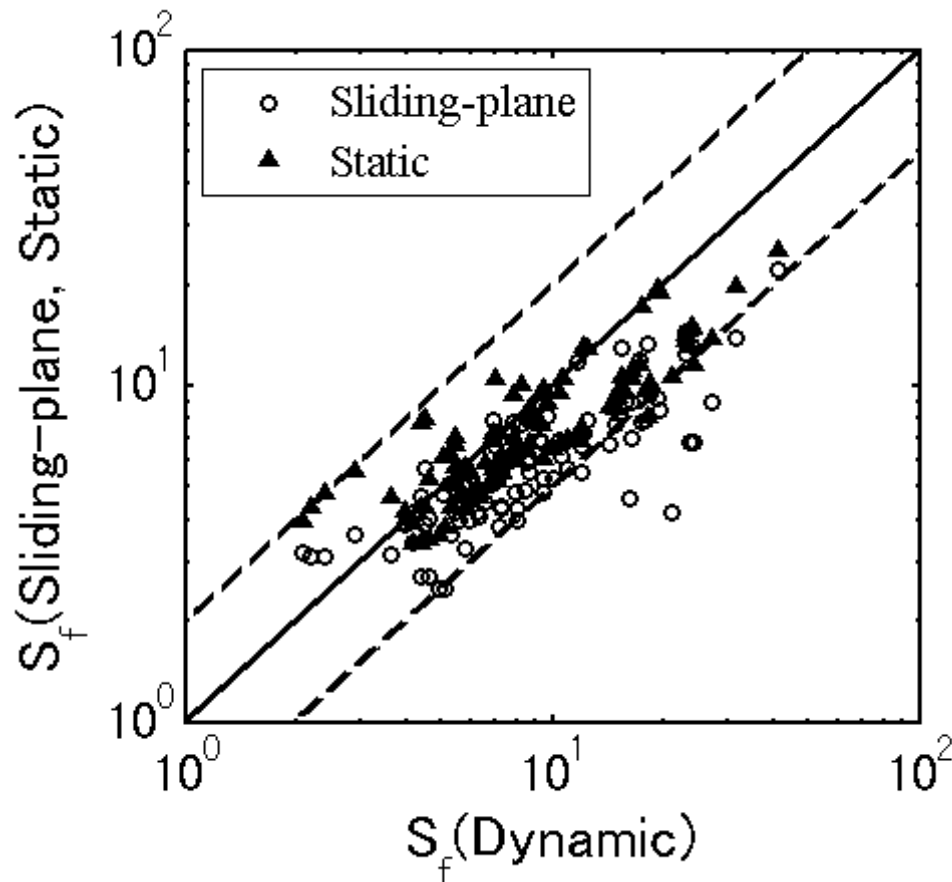
$$S_f |_s = \mu_S \cdot E[S_f^{(s)}] / E[S_f^{(D)}]$$

where,  $S_f |_s$  is safety evaluation standard value for the sliding-plane method and the static analysis

Analysis method	Uncertainty	
	Aleatory	Epistemic
Dynamic	$\zeta_D \neq 0$	Zero
Static, Sliding-plane	$\zeta_D$	$\zeta_U$

From questionnaire survey

# Sliding Failure Safety Factor Statistical Data



Number of sites: 9

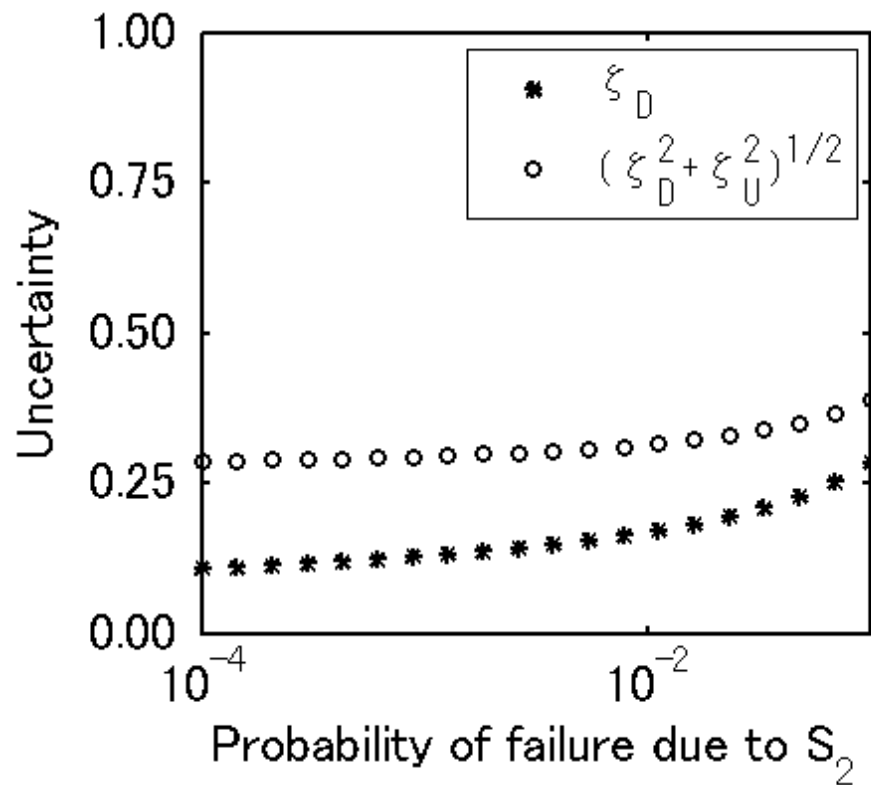
Number of samples: 131

	Safety factor	
	Sliding-plane/Dynamic	Static/Dynamic
Mean	0.714	0.899
Median	0.68	0.823
Coefficient of variation	0.335	0.265

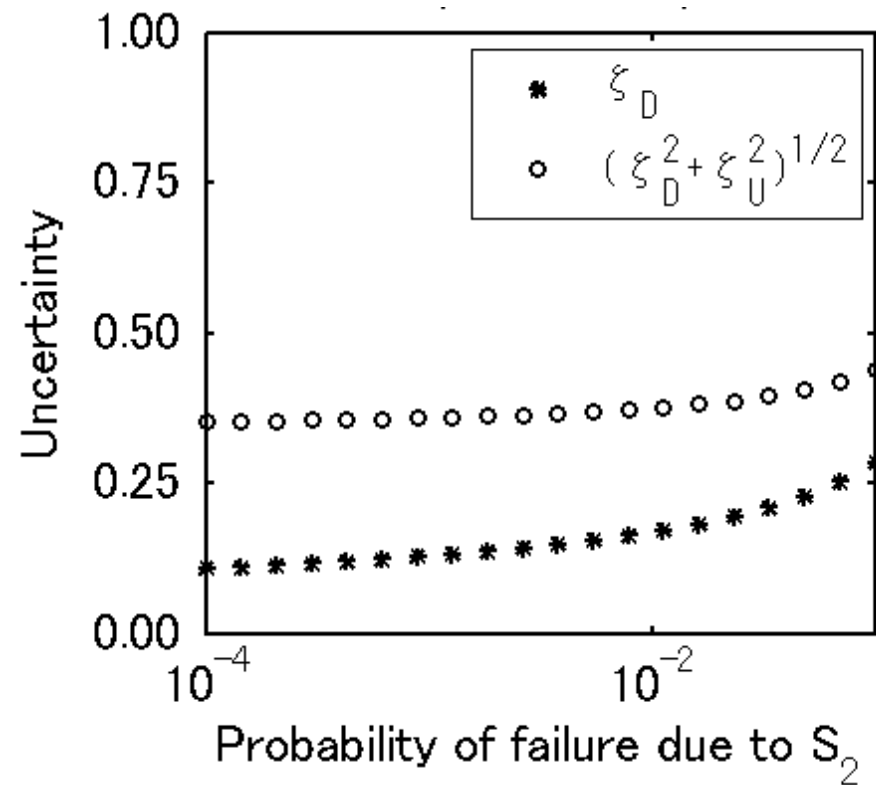
# Calibration Results (I)

- Uncertainty -

Foundation Ground



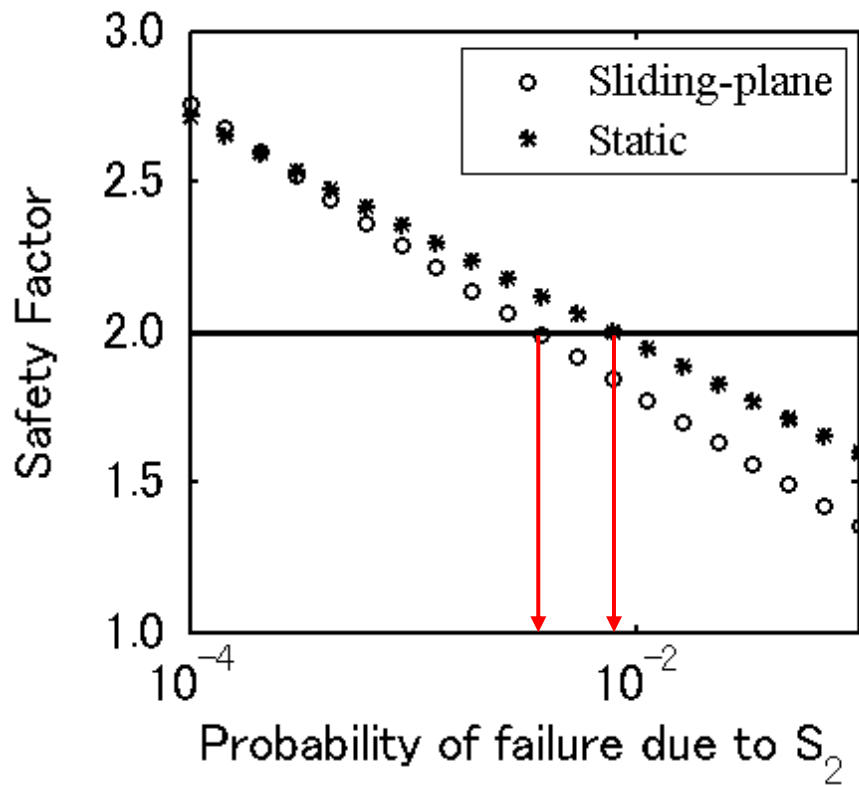
Peripheral Slope



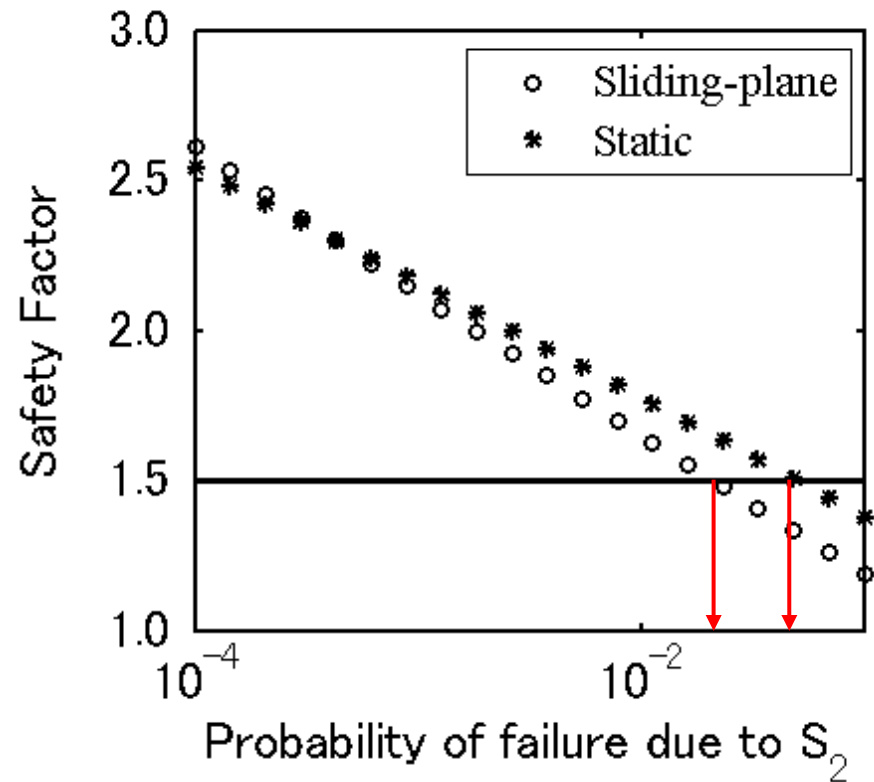
# Calibration Results (II)

- Probability of Failure -

Foundation Ground



Peripheral Slope





# Occurrence Probability of Design Earthquake

## ◆ JEAG4601-Supplement-1984

Occurrence probability of the basic ground motion,  $S_2$ , is  $10^{-4} \sim 10^{-5}$  in the Technical Guideline. However, in the JEAG4601-Supplement-1984, the occurrence probability of  $5 \times 10^{-4} \sim 10^{-5}/\text{site} \cdot \text{year}$  is used.

## ◆ IAEA Safety Standards Series (Safety Guide)

Seismic Design and Qualification for Nuclear Power Plants

SL-1 (for Load Combination):  $1 \times 10^{-2}$ (mean) [event/reactor/year]

SL-2\* (Design Basis Earthquake):  $1 \times 10^{-3} \sim 1 \times 10^{-4}$ (mean)

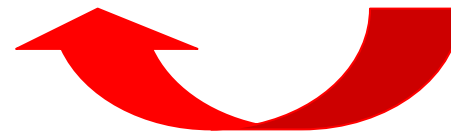
or  $1 \times 10^{-4} \sim 1 \times 10^{-5}$ (median)

\*) Corresponds to a safe shutdown earthquake level.

# Calibration Results (II)

- Probability of Failure -


	Foundation ground	Peripheral slope
Failure probability due to $S_2$ ground motion	$3.60 \cdot 10^{-3} - 7.91 \cdot 10^{-3}$	$2.13 \cdot 10^{-3} - 5.01 \cdot 10^{-3}$
Occurrence probability of $S_2$ ground motion	$1.0 \cdot 10^{-5} - 5.0 \cdot 10^{-4}$	
Annual probability of failure	$3.60 \cdot 10^{-8} - 3.96 \cdot 10^{-6}$	$2.13 \cdot 10^{-7} - 2.51 \cdot 10^{-5}$



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# Nordic Committee Safety Requirements

Failure consequences (Safety class)	Ductile failure with remaining capacity	Ductile failure without remaining capacity	Brittle failure
Less serious (Low)	$P_f \leq 10^{-3}$	$P_f \leq 10^{-4}$	$P_f \leq 10^{-5}$
Serious (Normal)	$P_f \leq 10^{-4}$	$P_f \leq 10^{-5}$	$P_f \leq 10^{-6}$
Very serious (High)	$P_f \leq 10^{-5}$	$P_f \leq 10^{-6}$	$P_f \leq 10^{-7}$


  
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# Calibration Results (II)

- Probability of Failure -

	Foundation ground	Peripheral slope
Failure probability due to S <sub>2</sub> ground motion	$3.60 \cdot 10^{-3} - 7.91 \cdot 10^{-3}$	$2.13 \cdot 10^{-3} - 5.01 \cdot 10^{-3}$
Occurrence probability of S <sub>2</sub> ground motion	$1.0 \cdot 10^{-5} - 5.0 \cdot 10^{-4}$	
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# Conclusions

- ◆ **Devised a calibration procedure for safety evaluation standard values for foundation ground and peripheral slopes**
  
- ◆ **Demonstrated the calibration process and obtained the following results**
  - Structures designed with standard values are sufficiently safe.
  - Safety class of foundation ground is one rank higher than that of peripheral slope.

# Thank you!

03/26/2007